



Docket No. 1232-5161

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s):

KAWASHIMA et al.

Group Art Unit:

2851

Serial No .:

10/666,211

Examiner:

Mathews, Alan A.

Filed:

September 19, 2003

Confirmation No.

8297

For:

**EXPOSURE APPARATUS** 

# CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

- 1. Information Disclosure Statement (2 pp.);
- 2. Form PTO-1449 (1 p.)
- 3. Copies of eight (8) references cited therein;
- 4. Return receipt postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted, MORGAN & FINNEGAN, L.L.P.

Dated: September 23, 2004

By:

Helen Tiger

Correspondence Address: MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, NY 10281-2101 (212) 415-8700 Telephone (212) 415-8701 Facsimile SEP 2 7 2004 EST INDUMENT

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#### INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

- 1. For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
  - For Japanese Patent Application Laid-Open No. JP 6-188169, Listed as Item A, an English Abstract is provided. For Japanese Patent Application Laid-Open Nos. JP 9-188167 and JP 6-124872, listed as Items B and C, respectively, corresponding US Patent No. 5,459,000 is provided. For Japanese Patent Application Laid-Open Nos. JP 5-90128, JP 5-88356 and JP 4-366841, listed as Items D, E and F, respectively, corresponding US Patent No. 5,541,026 is provided.
- 2. For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.

Docket No. <u>1232-5161</u> Serial No. 10/666,211

	enclose submit	Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No, filed					
$\boxtimes$	No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:						
		• • • • • • • • • • • • • • • • • • • •		hree months of the filing date of a national or			
				hree months of the date of entry into the .491 in an international application; or			
	$\boxtimes$						
				he mailing date of a first office action after 114.			
The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500.,Order No. 1232-5161. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.							
ed: So	eptembe	er)3 ,2004	By:	Respectfully submitted, MORGAN & FINNEGAN, L.L.P.			
	•	<b>~</b>	·	Joseph A. Calvaruso Registration No. 28,287			
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	The reconstruction of the property of the prop	enclos submit filed	enclosed with this Informal submitted to the Patent and filed  No fee is due under 37 C.F. since it is being filed in co  37 C.F.R. §1.97(b) application other the application other the application other the same as seed as a see	enclosed with this Information Disclossubmitted to the Patent and Trademark filed  No fee is due under 37 C.F.R. §1.17(p since it is being filed in compliance with a special point of the property of the property of the property of the patents of the pat			



		Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No, filed					
4. [	$\boxtimes$	No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:					
			37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or				
			37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or				
		$\boxtimes$	37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or				
			37 C.F.R. §1.97(b)(4), before the mailing date of a first office action after the filing of an RCE under §1.114.				
X	re D	quired f eposit A	missioner is hereby authorized to charge any additional fees which may be for this Information Disclosure Statement, or credit any overpayment to account No. 13-4500.,Order No. 1232-5161. A DUPLICATE COPY OF EET IS ATTACHED.				
			Respectfully submitted, MORGAN & FINNEGAN, L.L.P.				
Dated	1: S	eptembe	er 23, 2004 By: Joseph A. Calvaruso				
			Registration No. 28,287				

Correspondence Address:

MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, NY 10281-2101 (212) 415-8700 Telephone (212) 415-8701 Facsimile



# **FORM PTO-1449**

Attorney Docket: 1232-5161	Serial No.: 10/666,211	
Applicant:		

INFORMATION DISCLOSURE CITATION

KAWASHIMA et al.

Filing Date
September 19, 2003
Group Art Unit:
2851

U.S. PATENT DOCUMENTS						
Item	Patent Number	Publication Date	Name	Class	Sub-Class	Filing Date
1	5,541,026	07/30/1996	Matsumoto			06/07/1995
2	5,459,000	10/17/1995	Unno			10/04/1993
					-	
	Item	Item         Patent Number           1         5,541,026	Item         Patent Number         Publication Date           1         5,541,026         07/30/1996	Item         Patent Number         Publication Date         Name           1         5,541,026         07/30/1996         Matsumoto	Item         Patent Number         Publication Date         Name         Class           1         5,541,026         07/30/1996         Matsumoto	Item         Patent Number         Publication Date         Name         Class         Sub-Class           1         5,541,026         07/30/1996         Matsumoto         ————————————————————————————————————

Examiner	NO 100 (100 (100 (100 (100 (100 (100 (100	Patent	Publication	Ī.			
Initial		Number	Date	Country	Class	Sub-Class	Translation
	A	6-188169	07/08/1994	JP (w/ English Abstract)			☐ Yes ⊠ No
	В	6-188167	06/28/1994	JP			☐ Yes ⊠ No
	C	6-124872	05/06/1994	JР	•		☐ Yes ⊠ No
	D	5-90128	04/09/1993	JР			☐ Yes ⊠ No
	Е	5-88356	04/09/1993	JP			☐ Yes 🛛 No
	F	4-366841	12/18/1992	JP			Yes No

Examiner Initial	Item	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers,

Examiner		Date Considered		
EXAMINER:	Initial if reference considered, whether or not citation is in conformance with MPEP §609.			
	Draw line through citation if not in conformance and not considered.			
	Include copy of this form with next communication to Applicant.			